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J3879  
 10/03848  
 PTO  
 10/26/01

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☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/464,431 filed on December 15, 1999.

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☐ Customer Number or Bar Code Label

**Abstract**

26922

PATENT-TRADEMARK OFFICE

by E. Golota  
Nancy E. Golota

(Utility Patent Application Transmittal (PTO/SB/05) [4-1A] –page 1 of 1

# Patent Application Data Sheet

Title Line One::	ELECTROCOAT RESIN COMPOSITIONS CONTAINING
Title Line Two::	CARBAMATE FUNCTIONAL RESINS HAVING ONE OR MORE
Title Line Three::	QUATERNARY AMMONIUM GROUPS AND AT LEAST ONE
Title Line Four::	CARBAMATE FUNCTIONAL REACTIVE ADDITIVE
Total Drawing Sheets::	
Formal Drawings?::	
Application Type::	Utility
Application Filing Date::	October 26, 2001
Docket Number::	IN-5350D
Representative Information::	BASF Corporation, Patent Department 26701 Telegraph Road, Southfield Michigan 48034
Attorney(s) Name::	Anne Gerry Sabourin
Registration Number::	33,772
Attorney(s) Name::	Mary E. Golota
Registration Number::	36,814
Attorney(s) Name::	Michael F. Morgan
Registration Number::	42,906
Representative Customer Number::	26922
Continuity Information	
This application is a Divisional of	
>Application One::	09/464,431
Filing Date::	December 15, 1999
Inventor One Given Name::	Timothy S.
Family Name::	DECEMBER
Street::	546 Parkland
City::	Rochester Hills
State::	Michigan
Country::	United States of America
Postal or Zip Code::	48307
Citizenship Country::	American
Inventor Two Given Name::	
Family Name::	
Street::	
City::	
State::	
State::	
Country::	
Postal or Zip Code::	
Citizenship Country::	

<b>FEE TRANSMITTAL</b> Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997	Attorney Docket No.	IN-5350
	First Named Inventor	Timothy S. DECEMBER
	Filing Date	October 26, 2001
	Title	ELECTROCOAT RESIN COMPOSITIONS CONTAINING CARBAMATE FUNCTIONAL RESINS HAVING ONE OR MORE QUATERNARY AMMONIUM GROUPS AND AT LEAST ONE CARBAMATE FUNCTIONAL REACTIVE ADDITIVE
Express Mail Certificate		ET33227899SUS

**TOTAL AMOUNT OF PAYMENT** \$740.00

**METHOD OF PAYMENT**

**FEE CALCULATION**

1. ☒ The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number **23-3425**

Deposit Account Name **BASF Corporation**

☒ Change Any Additional Fee ☒ Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed: ☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION**

1. **BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)		
101	710	Utility filing fee	\$740.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (2)			\$740.00

2. **EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	05 - 20** = 0	18	
Independent Claims	01 - 3** = 0	84	
Multiple Dependent		290	

\*\*Or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	
103	16	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claims, if not paid
109	82	**Reissue independent claims over original patent
110	22	**Reissue claims in excess of 20 and over original patent

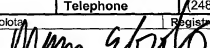
SUBTOTAL (2) (\$)-0-

105	130	Surcharge-late filing fee or oath
127	50	provisional ailing or cover sheet
139	130	Non-English specification
147	2,520	For filing request for examination
112	920*	Requesting publication of SIR prior to Examiner action
113	1,840	Requesting publication of SIR after Examiner action
115	110	Extension for reply within first month
116	400	Extension for reply within second month
117	890	Extension for reply within third month
118	1,510	Extension for reply within fourth month
128	2,060	Extension for reply within fifth month
119	310	Notice of Appeal
120	310	Filing a brief in support of an appeal
121	270	Request for oral hearing
138	1,510	Petition to institute a public use proceeding
140	110	Petition to revive-unavoidable
141	1,320	Petition to revive-unintentional
142	1,320	Utility issue fee (or reissue)
143	450	Design issue fee
144	670	Plant issue fee
122	130	Petition to the Commissioner
123	50	Petition related to provisional application
126	240	Submission of information
		Disclosure Statement
581	40	Recording each patent Assignment per property (time number of properties)
146	790	Filing a submission after final rejection
149	790	For each additional invention to be examined (37 CFR 1.129(b))
Other fee		(specify) Reduced By Basic Fee, Paid Filing

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label or ☐ Correspondence address below

 <b>26922</b> PATENT-TRADEMARK OFFICE	
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Country	USA	Telephone	(248) 948-2020	Fax	(248) 948-2093
Name (print Type)	Mary E. Golota		Registration No. (Attorney Agent)	36,814	
Signature			Date	October 26, 2001	

## PATENT

(Practitioner's Docket No. IN- 5350)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Timothy S. DECEMBER

US Serial No. : 09/464,431

Group Art Unit: 1712

Filed: December 15, 1999

Examiner: Lovering , R.

For: ELECTROCOAT RESIN COMPOSITIONS CONTAINING CARBAMATE FUNCTIONAL RESINS HAVING ONE OR MORE QUATERNARY AMMONIUM GROUPS AND AT LEAST ONE CARBAMATE FUNCTIONAL REACTIVE ADDITIVE

Assistant Commissioner of Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE"Express Mail" label number ET332278995US

Date of Deposit

October 26, 2001

I hereby certify that the following attached paper or fee

☒ Application  
Specification on 

19
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 pages; claims on 

01
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 pages;  
the abstract on 

01
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 page(s); drawings on 

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 page(s).

☒ Combined Declaration and Power of Attorney on 


02
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 pages

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

  
Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

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